

# Observable subgroups of algebraic monoids

Lex Renner<sup>a,1</sup>, Alvaro Rittatore<sup>b,2</sup>

<sup>a</sup>*University of Western Ontario, London, N6A 5B7, Canada.*

<sup>b</sup>*Facultad de Ciencias, Universidad de la República, Iguá 4225, 11400 Montevideo, Uruguay.*

---

## Abstract

A closed subgroup  $H$  of the affine, algebraic group  $G$  is called *observable* if  $G/H$  is a quasi-affine algebraic variety. In this paper we define the notion of an observable subgroup of the affine, algebraic *monoid*  $M$ . We prove that a subgroup  $H$  of  $G$  is observable in  $M$  if and only if  $H$  is closed in  $M$  and there are “enough”  $H$ -semiinvariant functions in  $\mathbb{k}[M]$ . We show also that a closed, normal subgroup  $H$  of  $G$  (the unit group of  $M$ ) is observable in  $M$  if and only if it is closed in  $M$ . In such a case there exists a *determinant*  $\chi : M \rightarrow \mathbb{k}$  such that  $H \subseteq \ker(\chi)$ . As an application, we show that in this case the *affinized quotient*  $M/\text{aff } H$  of  $M$  by  $H$  is an affine algebraic monoid scheme with unit group  $G/H$ .

---

## 1. Introduction

A closed subgroup  $H$  of the affine algebraic group  $G$  is called an *observable subgroup* if the homogeneous space  $G/H$  is a quasi-affine variety. Such subgroups have been researched extensively, notably by F. Grosshans, see [5] for a survey on this topic, and Theorem 2.12 below for other useful characterizations of observable subgroups. In [10] the authors presented the notion of an *observable action* of  $G$  on the affine variety  $X$ , together to its basic properties. In this paper we develop further the notion of observable actions. In particular, we investigate the situation where  $M$  is an affine *algebraic monoid* with unit group  $G$ , and  $H$  is a closed subgroup of  $G$ , such that the action of

---

*Email addresses:* `lex@uwo.ca` (Lex Renner), `alvaro@cmat.edu.uy` (Alvaro Rittatore)

<sup>1</sup>Partially supported by a grant from NSERC.

<sup>2</sup>Partially supported by grants from IMU/CDE, NSERC and PDT/54-02 research project.

$H$  on  $M$  by left multiplication is observable. In this case, we say that  $H$  is *observable in  $M$* .

We describe now the organization of this paper.

In Section 2 we provide the basic definitions and properties of observable actions and affinized quotients. In Section 3 we give several characterizations of observable subgroups. In Theorem 3.3 we deduce a number of useful consequences from the assumption that  $H$  is observable in  $M$ . In particular it follows that  $H$  is an observable subgroup of  $G$ , and that it is closed in  $M$ . In Theorem 3.4 we characterize the observable subgroups of  $M$  in terms of semiinvariants. In Theorem 3.5 we show that  $H$  is an observable subgroup of  $M$  if and only if  $H$  is the isotropy group of some vector  $v \in V$  in some rational representation  $\rho : M \rightarrow \text{End}(V)$  of  $M$ . In the final section (Section 4) we use the results of the previous section to study the affinized quotient of an affine algebraic monoid by a closed normal subgroup. In Theorem 4.4 we show that if  $H$  is a closed, normal subgroup of  $G$ , closed in  $M$ , then  $H$  is observable in  $M$ . Whether this is true for nonnormal closed subgroups of  $G$  is an open question. See Remark 4.7. As an application, we show that the *affinized quotient* of an affine algebraic monoid  $M$  by a normal subgroup  $H$ , closed in  $M$ , is an algebraic monoid, with unit group  $G/H$ .

**ACKNOWLEDGEMENTS:** This paper was written during a stay of the second author at the University of Western Ontario. He would like to thank them for the kind hospitality he received during his stay.

## 2. Preliminaries

Let  $\mathbb{k}$  be an algebraically closed field. We work with affine algebraic varieties  $X$  over  $\mathbb{k}$ . An algebraic group is assumed to be a smooth, affine, group scheme of finite type over  $\mathbb{k}$ . If  $X$  is an affine variety over  $\mathbb{k}$  we denote by  $\mathbb{k}[X]$  the ring of regular functions on  $X$ . If  $I \subset \mathbb{k}[X]$  is an ideal, we denote by  $\mathcal{V}(I) = \{x \in X : f(x) = 0 \ \forall f \in I\}$ . If  $Y \subset X$  is a subset, we denote by  $\mathcal{I}(Y) = \{f \in \mathbb{k}[X] : f(y) = 0 \ \forall y \in Y\}$ . Morphisms  $\varphi : X \rightarrow Y$  between affine varieties correspond to morphisms of algebras  $\mathbb{k}[Y] \rightarrow \mathbb{k}[X]$ , by  $\varphi \mapsto \varphi^*$ ,  $\varphi^*(f) = f \circ \varphi$ . If  $X$  is irreducible we denote by  $\mathbb{k}(X)$  the field of rational functions on  $X$ . If  $A$  is any integral domain we denote by  $[A]$  its quotient field. Thus if  $X$  is an irreducible affine variety, then  $\mathbb{k}(X) = [\mathbb{k}[X]]$ .

Let  $G$  be an affine algebraic group and let  $X$  be an algebraic variety. A (*regular*) *action* of  $G$  on  $X$  is a morphism  $\varphi : G \times X \rightarrow X$ , denoted by  $\varphi(g, x) = g \cdot x$ , such that  $(ab) \cdot x = a \cdot (b \cdot x)$  and  $1 \cdot x = x$  for all  $a, b \in G$  and  $x \in X$ . Since all the actions we work with are regular, we drop the adjective regular. The *orbit* of  $x \in X$  is denoted by  $\mathcal{O}(x) = \{g \cdot x : g \in G\}$ .

If  $G \times X \rightarrow X$  is a regular left action of  $G$  on  $X$  we consider the induced right action of  $G$  on  $\mathbb{k}[X]$ , defined as follows. If  $f \in \mathbb{k}[X]$  and  $g \in G$ , then  $(f \cdot g)(x) = f(gx)$ . It is well known that  $G$ -stable closed subset of  $X$  correspond to  $G$ -stable radical ideals of  $\mathbb{k}[X]$ . We say that  $f \in \mathbb{k}[X]$  is *G-invariant* if  $f \cdot g = f$  for any  $g \in G$ . The set of  $G$ -invariants  ${}^G\mathbb{k}[X]$  forms a  $\mathbb{k}$ -subalgebra of  $\mathbb{k}[X]$ , possibly non-finitely generated. Analogous considerations can be made if we start with a right action  $X \times G \rightarrow X$ .

A *finite dimensional (rational) G-module* is a finite dimension  $\mathbb{k}$ -vector space  $V$  together with a left action of  $G$  on  $V$  by linear automorphisms. A right action of  $G$  on  $V$  defines, in a similar way, the notion of a *right G-module*.

Recall that an *algebraic monoid*  $M$  is an algebraic variety together with an associative product  $m : M \times M \rightarrow M$  with neutral element 1, such that  $m$  is a morphism of algebraic varieties. We denote the *set of idempotent elements* of  $M$  by  $E(M) = \{e \in M : e^2 = e\}$ . We denote the *unit group* of  $M$  by  $G(M) = \{g \in M : \exists g^{-1} \in M, g^{-1}g = gg^{-1} = 1\}$ . It is known that  $G(M)$  is an algebraic group, open in  $M$  (see [9] and [11]).

### 2.1. Characters of affine algebraic monoids

In this section we establish the basic facts about *extendible characters* for the case of linear algebraic monoids.

**Definition 2.1.** Let  $M$  be an algebraic monoid. A *character* of  $M$  is a morphism of algebraic monoids  $M \rightarrow \mathbb{k}$ . We denote the monoid of characters of  $M$  by

$$\mathcal{X}(M) = \{\chi \in \mathbb{k}[M] : \chi(ab) = \chi(a)\chi(b) \forall a, b \in M, \chi(1) = 1\}.$$

If  $G = G(M)$ , then restriction induces an injective morphism of (abstract) monoids  $\mathcal{X}(M) \hookrightarrow \mathcal{X}(G)$ .

If  $M$  is an irreducible affine algebraic monoid, then there exists  $n \geq 0$  and a morphism of algebraic monoids  $\rho : M \hookrightarrow M_n(\mathbb{k})$ , such that  $\rho$  is closed immersion (see for example [9, Theorem 3.8]). This motivates the following definition.

**Definition 2.2.** Let  $M$  be an irreducible affine algebraic monoid. A character  $\chi \in \mathcal{X}(M)$  is called a *determinant* if  $\chi^{-1}(0) = M \setminus G(M)$ .

By the considerations above, determinants always exists.

**Remark2.3.** (1) Observe that if  $\det M \rightarrow \mathbb{k}$  is a determinant, then  $G(M) = M_{\det}$ . In particular  $\mathbb{k}[G(M)] = \mathbb{k}[M]_{\det}$ .  
(2) Clearly,  $\mathcal{X}(M) \subset \mathcal{X}(G(M))$  is a unital submonoid that generates  $\mathcal{X}(G(M))$  as a group. Indeed, let  $\det : M \rightarrow \mathbb{k}$  be a determinant and  $\chi \in \mathcal{X}(G(M))$ . Then there exists  $f \in \mathbb{k}[M]$  and  $n \geq 0$  such that  $\chi = \frac{f}{\det^n}$ . It follows that  $f|_G$  is a character, and hence, by continuity,  $f \in \mathcal{X}(M)$ .

The notion of an *extendible character* is very useful in the study of observable subgroups of algebraic groups. We extend this notion to the setting of algebraic monoids.

**Definition2.4.** Let  $M$  be an affine algebraic monoid and let  $H \subset G(M)$  be a closed subgroup. A non-trivial character  $\chi \in \mathcal{X}(H)$  is *extendible* if there exists a non-zero *semiinvariant element of weight*  $\chi$ ; that is, there exists  $f \in k[M]$  such that  $f \cdot x = \chi(x)f$  for all  $x \in H$ . Such an element is called an *extension of*  $\chi$ . We denote the monoid of extendible characters of  $H$  by  $E_M(H)$ .

Clearly, restriction to  $G = G(M)$  induces an injective homomorphisms of (abstract) monoids  $E_M(H) \hookrightarrow E_G(H)$ .

**Remark2.5.** Observe that if  $\chi$  is an extendible character and  $f$  is an extension of  $\chi$ , we can suppose that  $f(1) = 1$ . Then  $f(x) = (f \cdot x) = (1)\chi(x)f(1) = \chi(x)$  for all  $x \in N$ , and thus  $f$  is an extension of  $\chi$  to a regular function of  $M$ .

**Definition2.6.** Let  $M$  be an affine algebraic monoid and  $V$  a finite rational  $M$ -module. For every  $\alpha \in V^*$  and  $v \in V$  we define  $\alpha|v : M \rightarrow \mathbb{k}$  as  $(\alpha|v)(x) = \alpha(x \cdot v)$  for all  $x \in M$ . A function of this form is called an *V-representative function* or simply a *representative function*.

**Definition2.7.** Let  $G$  be an algebraic group and let  $\chi \in \mathcal{X}(G)$  be a character. Let  $V$  be a right  $G$ -module, with action  $\varphi : V \times G \rightarrow V$ ,  $\varphi(v, g) = v \cdot g$ . Then the *twisted representation*  $V_\chi$  is defined as follows. As a vector space  $V = V_\chi$ , and the action  $V_\chi \times G \rightarrow V_\chi$  is given by  $v \star g = \chi(g)(v \cdot g)$ , for every  $g \in G$ ,  $v \in V_\chi$ .

The following theorem is a straightforward generalization of the corresponding (well known) result for algebraic groups (see [4, Theorem 7.2.3]). We include a proof for the sake of completeness.

**Theorem 2.8.** *Let  $M$  be an affine algebraic monoid with unit group  $G$ ,  $H \subset G$  a closed subgroup and  $V$  a finite dimensional rational right  $H$ -module. There exists a finite dimensional rational right  $M$ -module  $W$ , an extendible character  $\chi : H \rightarrow G_m$  and an injective morphism  $\iota : V \rightarrow (W|_H)_{\chi^{-1}}$ .*

PROOF. Given  $V$  as above, we proceed as in the proof of [4, Theorem 7.2.3]. It is well known that there exists an injective morphism of  $H$ -modules  $\theta : V \rightarrow \bigoplus_I \mathbb{k}[H]$ , where  $I$  is a finite set of indexes (see for example [4, Theorem 4.3.13]). Consider the  $H$ -morphism  $\alpha = \bigoplus \pi : \bigoplus_I \mathbb{k}[M] \rightarrow \bigoplus_I \mathbb{k}[H]$ , where  $\pi : \mathbb{k}[M] \rightarrow \mathbb{k}[H]$  is the canonical projection.

Call  $V' = \alpha^{-1}(\theta(V)) \subset \bigoplus_I \mathbb{k}[M]$  and  $\beta$  the restriction of  $\alpha$  to the  $H$ -submodule  $V'$ :

$$\begin{array}{ccc} V' & \xrightarrow{\quad} & \bigoplus_I \mathbb{k}[M] \\ \beta \downarrow & & \downarrow \alpha \\ V & \xrightarrow{\quad \theta \quad} & \bigoplus_I \mathbb{k}[H] \end{array}$$

Let  $\mathcal{F}$  be a finite  $\mathbb{k}$ -linear basis of  $V$  and let  $\mathcal{F}_0 \subset V'$  be a finite set such that  $\beta(\mathcal{F}_0) = \mathcal{F}$ . Call  $R$  the finite dimensional  $G$ -submodule of  $\bigoplus_I \mathbb{k}[M]$  generated by  $\mathcal{F}_0$ ; then  $R$  is an  $M$ -module. Let  $S$  be finite dimensional  $H$ -submodule of  $R|_H$  (contained in  $V'$ ) generated by  $\mathcal{F}_0$ . In this way, we produce an exact sequence of rational  $H$ -modules  $0 \rightarrow U \rightarrow S \rightarrow V \rightarrow 0$ . Call  $n = \dim_{\mathbb{k}} U$  and consider the commutative diagram below

$$\begin{array}{ccccccc} 0 & \longrightarrow & U \otimes \bigwedge^n U & \longrightarrow & S \otimes \bigwedge^n U & \longrightarrow & V \otimes \bigwedge^n U \longrightarrow 0 \\ & & \downarrow & & \downarrow & \nearrow \varphi & \\ 0 & \longrightarrow & U \wedge \bigwedge^n U = 0 & \longrightarrow & S \wedge \bigwedge^n U & & \end{array}$$

where all the solid arrows are the canonical ones, the first row is exact and in the second row the term  $U \wedge \bigwedge^n U$  equals zero by dimensional reasons — notice that all the exterior products are taken inside the exterior algebra  $\bigwedge R$ . One can now prove that  $\varphi$  is bijective (see the proof of [4, Theorem 7.2.3]).

As  $S$  and  $U$  are  $H$ -submodules of  $R|_H$ , we can view  $\varphi$  as an injective  $H$ -morphism  $\varphi_1 : V \otimes \bigwedge^n U \rightarrow \bigwedge^{n+1} R|_H$ . Call  $\chi$  the rational character associated to the one dimensional  $H$ -module  $\bigwedge^n U$ , i.e. the character defined by the formula  $x \cdot u = \chi(x)u$  for all  $x \in H$ . The map  $\varphi_2 : V \rightarrow \bigwedge^{n+1} R$ ,

$\varphi_2(m) = \varphi_1(m \otimes u)$ , satisfies that for all  $x \in H$ ,

$$\begin{aligned}\varphi_2(m \cdot x) &= \varphi_1((m \cdot x) \otimes u) = \varphi_1(\chi^{-1}(x)(m \cdot x \otimes u \cdot x)) \\ &= \chi^{-1}(x)\varphi_1((m \otimes u) \cdot x) = \chi^{-1}(x)\varphi_1(m \otimes u) \cdot x \\ &= \chi^{-1}(x)\varphi_2(m) \cdot x.\end{aligned}$$

Hence, if we let  $W = \bigwedge^{n+1} R$  and  $\iota = \varphi_2$ , the proof of the theorem will be complete once we prove that the character  $\chi$  is extendible. Consider the  $M$ -module  $\bigwedge^n R$  and let  $\alpha \in (\bigwedge^n V)^*$  be such that  $\alpha(u) = 1$ . Then the representative function  $\alpha|u \in \mathbb{k}[M]$  is an extension of  $\chi$ . Indeed, if  $x \in H$  and  $m \in M$ , then

$$((\alpha|u) \cdot x)(m) = (\alpha|u)(xm) = \alpha(u \cdot (xm)) = \chi(x)\alpha(u \cdot m) = \chi(x)(\alpha|u)(m)$$

and  $(\alpha|u)(1) = \alpha(u) = 1$ . So that  $\alpha|u$  is an extension of  $\chi$ .  $\square$

Let  $M$  be an affine algebraic monoid with unit group  $G$ . Many results about extendible characters for subgroups of  $G$  apply to the situation of closed subgroups of  $M$ .

**Lemma 2.9.** *Let  $M$  be an affine algebraic monoid with unit group  $G$ , and  $H \subset G$  a subgroup, closed in  $M$ .*

(1) *Let  $\rho \in E_M(H)$  be an extendible character and let  $f \in k[M]$  be a semi-invariant of weight  $\rho$ . Consider the left action  $M \times \mathbb{k}[M] \rightarrow \mathbb{k}[M]$  given by  $(x \cdot f)(m) = f(mx)$ ,  $x, m \in M$ . Then for any  $x \in M$ ,  $x \cdot f$  is also a semiinvariant of weight  $\rho$ .*

(2) *If  $\pi : \mathbb{k}[M] \rightarrow \mathbb{k}[H]$  is the canonical projection and  $\rho \in E_M(H)$  is an extendible character, there exists an extension  $f \in k[M]$  of  $\rho$  such that  $\pi(f) = \rho$ .*

(3) *A character  $\rho \in \chi(H)$  is extendible if and only if there exists a rational right  $M$ -module  $V$  and an injective morphism of right  $H$ -modules  $\iota : \mathbb{k}\rho \rightarrow V$ . In other words  $\rho$  is extendible if and only if there exists a rational right  $M$ -module  $V$  and a non-zero element  $v \in V$  such that  $v \cdot x = \rho(x)v$  for all  $x \in H$ . Moreover, the right  $M$ -module  $V$  can be taken to be a finite dimensional right  $M$ -submodule of  $\mathbb{k}[M]$ .*

(4) *For any  $\gamma \in \mathcal{X}(H)$  there exists  $\rho \in E_M(H)$  such that  $\gamma\rho \in E_M(H)$ .*

**PROOF.** Many of these results are straightforward generalizations of the corresponding results for algebraic groups. See [4, Lemma 7.2.8]. For the sake of completeness we include the proofs of (3) and (4).

To prove (3) suppose that  $\rho$  is extendible, let  $f \in \mathbb{k}[M]$  be an extension and call  $W$  the rational right  $G$ -submodule of  $\mathbb{k}[M]$  generated by  $f$ . Then the map  $\iota : \mathbb{k}\rho \rightarrow W$ ,  $\iota(\rho) = f$ , does the job. Conversely, if one has an injective morphism  $\iota : \mathbb{k}\rho \rightarrow W|_H$  and call  $n = \iota(\rho)$ , then  $n \cdot x = \iota(\rho) \cdot x = \iota(\rho \cdot x) = \rho(x)\iota(\rho) = \rho(x)n$ . Take now  $\alpha \in N^*$  such that  $\alpha(n) = 1$  and consider  $f = \alpha|n$ . Then,  $f \cdot x(m) = (\alpha|n)(xm)\alpha(n \cdot (xm)) = \rho(x)(\alpha|n)(m) = \rho(x)f$  for all  $x \in H$ , and  $f(1) = (\alpha|n)(1) = \alpha(n) = 1$ .

To prove (4) consider  $V = \mathbb{k}\gamma$ . By Theorem 2.8 there exists an extendible character  $\rho$ , a right  $M$ -module  $W$  and an injective  $H$ -morphism  $\iota : \mathbb{k}\gamma \rightarrow (W|_H)_{\rho^{-1}}$ . Call  $n = \iota(\gamma)$ , then for any  $x \in H$  we have that  $n \cdot x = \iota(\gamma) \cdot x = \rho(x)\iota(\gamma \cdot x) = \rho(x)\gamma(x)\iota(\gamma) = \rho(x)\gamma(x)n$ . From (3) we conclude that  $\rho\gamma \in E_M(H)$ .  $\square$

## 2.2. Observable actions of algebraic groups

Observable subgroups were introduced by Bialynicki-Birula, Hochschild and Mostow in [1]. Since then they have been researched extensively, notably by F. Grosshans. See [5] for a survey on this topic. This basic notion has recently been generalized and reformulated in the context of geometric invariant theory by the authors. See [10].

**Definition 2.10.** Let  $G$  be an affine algebraic group and let  $H \subset G$  be a closed subgroup. The subgroup  $H$  is *observable* in  $G$  if and only if for any nonzero  $H$ -stable ideal  $I \subset \mathbb{k}[G]$  we have that  $I^G \neq (0)$ , where we consider the right action of  $H$  on  $\mathbb{k}[G]$  given by  $(f \cdot h)(a) = f(ha)$ , for all  $f \in \mathbb{k}[G]$   $h \in H$  and  $a \in G$ .

Analogously, one can define the notion of a *right observable subgroup*, by considering the action  $H \times \mathbb{k}[G] \rightarrow \mathbb{k}[G]$ ,  $(h \cdot f)(a) = f(ah)$  for  $f \in \mathbb{k}[G]$ ,  $a \in G$ ,  $h \in H$ .

**Example 2.11.** (1) If  $U \subset G$  is a closed unipotent subgroup, then  $U$  is observable, since any  $U$ -module has non-zero invariant elements.

(2) If  $H \subset G$  is a normal closed subgroup, then  $H$  is observable. This follows from condition (2) of Theorem 2.12 below.

(3) Let  $H \subset G$  be a closed subgroup, such that  $\mathcal{X}(H) = 1$ . Then  $H$  is observable in  $G$ . This follows for example from condition (6) of Theorem 2.12 below.

We now present a collection of equivalent definitions of observability. The proofs can be found in [4, Thms. 10.2.9 and 10.5.5]. We give a different

proof for the fact that  $H$  is observable in  $G$  if and only if  $E_G(H)$  is a group (equivalence (1)  $\iff$  (7) of Theorem 2.12). This will provide some insight into the more general situation of algebraic monoids given in Theorem 3.4.

**Theorem 2.12.** *Let  $G$  be an affine algebraic group and  $H \subset G$  a closed subgroup. Then the following conditions are equivalent:*

1. *The subgroup  $H$  is observable in  $G$ .*
2. *The homogeneous space  $G/H$  is a quasi-affine variety.*
3. *For an arbitrary proper and closed subset  $C \subsetneq G/H$ , there exists a non-zero invariant regular function  $f \in \mathbb{k}[G]^H$  such that  $f(C) = 0$ .*

Moreover, if  $G$  is connected the above conditions are equivalent to any of the following.

- (4)  $H = \{x \in G : x \cdot f = f, \forall f \in \mathbb{k}[G]^H\}$ .
- (5)  $[\mathbb{k}[G]]^H = [\mathbb{k}[G]^H]$ .
- (6)  $E_G(H) = \mathcal{X}(H)$ .
- (7)  $E_G(H)$  is a group.

PROOF. To prove that (1)  $\implies$  (7), let  $H$  be observable in  $G$  and  $\chi \in E_G(H)$  an extendible character. Let  $f \in \mathbb{k}[G]$  be a semiinvariant of weight  $\chi$ . Then the ideal  $I = f\mathbb{k}[G]$  is  $H$ -stable, and hence there exists  $g \in \mathbb{k}[G]$  such that  $(fg) \cdot x = fg$  for all  $x \in H$ . It follows that  $g \cdot x = \chi^{-1}(x)g$  for all  $x \in H$ . Thus  $\chi^{-1} \in E_G(H)$ .

To prove that (7)  $\implies$  (1), let  $I \subset \mathbb{k}[G]$  be a non-zero  $H$ -stable ideal, and consider  $H_{\text{uni}} = R_u(H)[H, H]$ . Then  $H_{\text{uni}}$  is normal in  $H$ , with  $\mathcal{X}(H_{\text{uni}}) = \{1\}$ , and such that  $H_{\text{uni}} \setminus H$  is a torus; in particular  $\mathcal{X}(H) = \mathcal{X}(H_{\text{uni}} \setminus H)$ , since  $\mathcal{X}(H_{\text{uni}}) = \{1\}$ . It follows that  $H_{\text{uni}}$  is observable in  $G$ , and thus  $I^{H_{\text{uni}}} \neq (0)$  is a  $H$ -module. Then  $I^{H_{\text{uni}}}$  is a right  $(H_{\text{uni}} \setminus H)$ -module, and hence

$$(0) \neq I^{H_{\text{uni}}} = \bigoplus_{\chi \in \mathcal{X}(H_{\text{uni}} \setminus H)} I_{\chi}^{H_{\text{uni}}} = \bigoplus_{\chi \in \mathcal{X}(H)} I_{\chi}^{H_{\text{uni}}}$$

Hence, there exists  $\chi \in \mathcal{X}(H)$  and  $f \in I \setminus \{0\}$  such that  $f \cdot x = \chi(x)f$  for all  $x \in H$ . Thus  $\chi \in E_G(H)$ . Since  $E_G(H)$  is a group, then  $\chi^{-1} \in E_G(H)$  and hence there exists  $g \in \mathbb{k}[G]$  such that  $g \cdot x = \chi^{-1}(x)g$  for all  $x \in H$ . It follows that  $0 \neq fg \in I^H$ .  $\square$

**Definition 2.13.** Let  $G$  be an affine algebraic group acting on an affine variety  $X$ . We say that the action is *observable* if for any nonzero  $G$ -stable ideal  $I \subset \mathbb{k}[X]$  we have that  $I^G \neq (0)$ .



**Example 2.14.** (1) The action of an unipotent group on an affine variety is always observable, since for every right  $U$ -module  $M$ , we have that  $M^U \neq 0$ .  
(2) Let  $G$  be an algebraic group and  $H$  a closed subgroup. Then the action of  $H$  on  $G$  by left translations is observable if and only if  $H$  is observable in  $G$ , in the sense of Definition 2.10, if and only if the action by right translations is observable.

Observable actions have been studied in detail in [10], where several characterizations of this important property were presented. We collect here the ones that we need in what follows. We begin by recalling some notation.

**Definition 2.15.** Let  $G$  be an affine group acting on an affine variety  $X$ . We let

$$\Omega(X) = \{x \in X : \dim \mathcal{O}(x) \text{ is maximal and } \overline{\mathcal{O}(x)} = \mathcal{O}(x)\}.$$

That is,  $\Omega(X)$  is the set of orbits of maximal dimension that are closed. The reader should be aware that  $\Omega(X)$  can be empty.

**Theorem 2.16.** *Let  $G$  be a connected affine algebraic group acting on an irreducible affine variety  $X$ . Then the following are equivalent*

1. *The action is observable.*
2. *(i)  $[\mathbb{k}[X]^G] = [\mathbb{k}[X]^G]$  and  
(ii)  $\Omega(X)$  contains a non-empty open subset.*
3. *There exists a nonzero invariant  $f \in \mathbb{k}[X]^G$  such that the action of  $G$  on  $X_f$  is observable.*

PROOF. See [10, Proposition 3.2 and Theorem 3.10]. □

If  $G$  is a reductive group acting on an affine variety  $X$ , then a result of Popov (see [6, Theorem 4]) guarantees that if  $\Omega(X)$  is nonempty then it is an open set. In [10] this result was used to prove that in this case, condition (2ii) of Theorem 2.16 is sufficient to guarantee observability.

**Proposition 2.17.** *Let  $G$  be a reductive group acting on an affine variety  $X$ . Then the action is observable if and only if  $\Omega(X) \neq \emptyset$ .*

PROOF. See [10, Theorem 4.7]. □

### 2.3. The Affinized quotient

Let  $G$  be an affine algebraic group acting on the affine variety  $X$ . It is well known that the categorical quotient does not necessarily exist, even when  $\mathbb{k}[X]^G$  is finitely generated. However, if  $\mathbb{k}[X]^G$  is finitely generated, then  $\text{Spec}(\mathbb{k}[X]^G)$  satisfies a universal property in the category on the affine algebraic varieties.

**Definition 2.18.** Let  $G$  be an affine algebraic group acting on an affine variety  $X$ , in such a way that  $\mathbb{k}[X]^G$  is finitely generated. The *affinized quotient* of the action is the morphism  $\pi : X \rightarrow X/\text{aff } G = \text{Spec}(\mathbb{k}[X]^G)$ .

It is clear that  $\pi$  satisfies the following universal property.

*Let  $Z$  be an affine variety and  $f : X \rightarrow Z$  a morphism constant on the  $G$ -orbits. Then there exists a unique  $\tilde{f} : X/\text{aff } G \rightarrow Z$  such that the following diagram is commutative.*

$$\begin{array}{ccc} X & \xrightarrow{f} & Z \\ \downarrow & \nearrow \tilde{f} & \\ X/\text{aff } G & & \end{array}$$

Indeed, it is clear that the induced morphism  $f^* : \mathbb{k}[Z] \rightarrow \mathbb{k}[X]$  factors through  $\mathbb{k}[X]^G$ .

**Remark 2.19.** It is clear that the morphism  $\pi : X \rightarrow X/\text{aff } G$  is dominant. However,  $\pi$  is not necessarily surjective. For example, consider a semisimple group  $X = H$  and its maximal unipotent subgroup  $G$  acting on  $X$  by left translation.

## 3. Observable subgroups of algebraic monoids

We now adapt the notion of observability to the situation of subgroups of algebraic monoids.

**Definition 3.1.** Let  $M$  be an algebraic monoid with unit group  $G$ , and let  $H \subset G$  be a closed subgroup. We say that  $H$  is *(left) observable in  $M$*  if the action by left multiplication  $H \times M \rightarrow M$ ,  $h \cdot m = hm$ , is observable in the sense of Definition 2.13.

Similarly, we say that  $H$  is *right observable in  $M$*  if the action by right multiplication  $M \times H \rightarrow M$ ,  $m \cdot h = mh$ , is observable.

**Example 3.2.** (1) Since the action of a unipotent group on an affine variety is observable (all orbits are closed), it follows that any unipotent subgroup  $U$  of  $G(M)$  is observable in  $M$ .

(2) If  $M = G(M)$  is an algebraic group, then Definitions 2.10 and 3.1 coincide.

(3) It follows from Proposition 2.17 that if  $H \subset G(M)$  is reductive, and closed in  $M$ , then  $H$  is observable in  $M$ . Indeed, if  $H = \overline{H}$ , then  $\Omega(M) \neq \emptyset$ .

**Theorem 3.3.** *Let  $M$  be an affine irreducible algebraic monoid with unit group  $G$ , and let  $H \subset M$  be an observable subgroup. Then*

- (1) *The subgroup  $H$  is observable in  $G$ .*
- (2)  *$H$  closed in  $M$ .*
- (3)  $[\mathbb{k}[M]]^H = [\mathbb{k}[G]]^H = [\mathbb{k}[G]^H] = [\mathbb{k}[M]^H]$ .
- (4) *The subgroup  $H$  satisfies*

$$\begin{aligned} H &= \{x \in G : f \cdot x = f, \forall f \in \mathbb{k}[G]^H\} = \\ &= \{x \in G : f \cdot x = f, \forall f \in \mathbb{k}[M]^H\} = \\ &= \{x \in M : f \cdot x = f, \forall f \in \mathbb{k}[M]^H\}^0. \end{aligned}$$

Recall that if  $N$  is an algebraic monoid, then  $N^0$  is the unique irreducible component containing 1, see for example [12, Thm. 4].

PROOF. (1) Let  $C \subset G$  be a  $H$ -stable closed subset. Then  $\overline{C} \subset M$  is  $H$ -stable, and it follows that there exists a  $f \in \mathcal{V}(\overline{C})^H \setminus \{0\} \subset \mathbb{k}[M]$ . Since  $G$  is open in  $M$ , it follows that  $f \in \mathcal{V}(C)^H \setminus \{0\} \subset \mathbb{k}[G]$ .

(2) Since  $H$  is observable in  $M$ , it follows from Theorem 2.16 that  $\Omega(M)$  contains a non-empty open subset. Since  $G = \bigcup Hg$ ,  $G$  is contained in  $M_{\max}$ , and hence there exists  $g \in G$  such that  $g \in \Omega(M) \cap G$ , i.e. such that  $Hg$  is closed in  $M$ . Since multiplication by an element of  $g$  is an isomorphism, it follows that  $H$  is closed in  $M$ .

(3) First observe that  $[\mathbb{k}[M]^H] \subset [\mathbb{k}[M]]^H = [\mathbb{k}[G]]^H$ . Let  $g \in [\mathbb{k}[M]]^H$ , and consider the ideal  $I = \{f \in \mathbb{k}[M] : fg \in \mathbb{k}[M]\}$ . Then  $I$  is a non-zero  $H$ -stable ideal, and hence there exists  $h \in I^H \setminus \{0\}$ . It follows that  $r = hg \in \mathbb{k}[M]^H$  and hence  $g = \frac{r}{h} \in [\mathbb{k}[M]^H]$ . The remaining equality follows from Theorem 2.12.

(4) The first equality follows from Theorem 2.12. It is clear that  $A = \{x \in G : f \cdot x = f, \forall f \in \mathbb{k}[G]^H\} \subset B = \{x \in G : f \cdot x = f, \forall f \in \mathbb{k}[M]^H\}$ . Let  $x \in B$  and  $f \in \mathbb{k}[G]^H$ . By (3), it follows that  $f \in [\mathbb{k}[G]^H] = [\mathbb{k}[M]^H]$ . Hence, there exist  $g, h \in \mathbb{k}[M]^H$  such that  $f = \frac{g}{h}$ . It follows that  $f \cdot x = \frac{g \cdot x}{h \cdot x} = \frac{g}{h}$ .

In order to prove the last equality, we first observe that  $N = \mathcal{V}(\{x \mapsto (f \cdot x)(m) - f(m), m \in M, f \in \mathbb{k}[M]^H\})$  is a closed submonoid of  $M$ . Since  $G$  is open in  $M$ , it follows that

$$H = \overline{H} = \overline{\overline{H} \cap G} = N^0.$$

□

**Theorem 3.4.** *Let  $M$  be an irreducible affine algebraic monoid with unit group  $G$  and let  $H \subset G$  be a subgroup, closed in  $M$ . Then the following conditions are equivalent.*

- (1) *The subgroup  $H$  is observable in  $M$ .*
- (2)  *$E_M(H)$  is a group. That is, for every  $\rho \in E_M(H)$ ,  $\rho^{-1} \in E_M(H)$ .*
- (3)  *$E_M(H) = \mathcal{X}(H)$ , i.e. every rational character is extendible.*
- (4) *For every finite dimensional rational right  $H$ -module  $V$  there exists a finite dimensional rational right  $M$ -module  $W$  and an injective morphism of  $H$ -modules  $\xi : V \rightarrow W|_H$ .*
- (5)  *$H$  is observable in  $G$  and for any (some) determinant  $\det : M \rightarrow \mathbb{k}$ , then  $\frac{1}{\det}|_H \in E_M(H)$ .*

PROOF. In order to prove that (1) implies (2), assume that  $H$  is observable in  $M$ , and let  $\chi \in E_M(H)$  be an extendible character. Let  $g$  be an extension of  $\chi$ . Then the ideal  $g\mathbb{k}[M]$  is a nonzero  $H$ -stable ideal and hence there exists  $f \in \mathbb{k}[M]$  such that  $(gf) \cdot x = gf$  for all  $x \in H$ . It follows that  $f \cdot x = \chi^{-1}(x)f$  for all  $x \in H$ . That is,  $\chi^{-1} \in E_M(H)$ .

Since  $E_M(H)$  generates  $\mathcal{X}(H)$  as a group, it is clear that conditions (2) and (3) are equivalent.

To prove that (2) implies (1), assume that  $E_M(H)$  is a group and let  $I \subset \mathbb{k}[M]$  be a non-zero  $H$ -stable ideal. Let  $H_{\text{uni}} = R_u(H)[H, H]$ , as in the proof of Theorem 2.12. Then  $H_{\text{uni}}$  is observable in  $H$ . Consider a determinant  $\det : M \rightarrow \mathbb{k}$ . Since  $\mathcal{X}(H_{\text{uni}}) = 1$ , it follows that  $H_{\text{uni}} \subset \det^{-1}(1)$ . Thus, we have found an  $H_{\text{uni}}$ -invariant regular function  $\det \in \mathbb{k}[M]^{H_{\text{uni}}}$ , such that  $\mathbb{k}[G] = \mathbb{k}[M]_{\det}$ . It follows from Theorem 2.16 that  $H_{\text{uni}}$  is observable in  $M$ , and thus the right  $H$ -module  $I^{H_{\text{uni}}}$  is not trivial. Then, as in the proof of Theorem 2.12, it follows that  $I^{H_{\text{uni}}}$  is a right  $(H_{\text{uni}} \setminus H)$ -module, and hence

$$(0) \neq I^{H_{\text{uni}}} = \bigoplus_{\chi \in \mathcal{X}(H_{\text{uni}} \setminus H)} I_{\chi}^{H_{\text{uni}}} = \bigoplus_{\chi \in \mathcal{X}(H)} I_{\chi}^{H_{\text{uni}}}.$$

Hence, there exists  $\chi \in \mathcal{X}(H)$  and  $f \in I \setminus \{0\}$  such that  $f \cdot x = \chi(x)f$  for all  $x \in H$ . Thus  $\chi \in E_M(H)$ . Since  $E_M(H)$  is a group, it follows that

$\chi^{-1} \in E_M(H)$ . Thus, there exists  $g \in \mathbb{k}[G]$  such that  $g \cdot x = \chi^{-1}(x)g$  for all  $x \in H$ . It follows that  $0 \neq fg \in I^H$ .

To prove that (2) implies (4), we follow the idea of the proof of [4, Theorem 10.2.9]. If  $V$  is a finite dimensional rational right  $H$ -module, using Theorem 2.8 we deduce the existence of an extendible character  $\rho$ , a finite dimensional rational right  $M$ -module  $W$  and an injective map  $\iota : V \rightarrow W$  such that  $\iota(m \cdot x) = \rho^{-1}(x)\iota(m) \cdot x$  for all  $x \in H$ . By hypothesis the character  $\rho^{-1}$  is extendible, so if we take  $f \in \mathbb{k}[M]$  that extends  $\rho^{-1}$  and call  $W_0$  the right  $M$ -submodule of  $\mathbb{k}[M]$  generated by  $f$ , we can define an injective map  $\xi : V \rightarrow W \otimes W_0$ , given as  $\xi(m) = \iota(m) \otimes f$ . If we endow  $W \otimes W_0$  with the diagonal right  $M$ -module structure, the following computation shows that  $\xi$  is  $H$ -equivariant. Let  $x \in H$ . Then

$$\begin{aligned} \xi(m \cdot x) &= \iota(m \cdot x) \otimes f = \rho^{-1}(x)(\iota(m) \cdot x) \otimes f = (\iota(m) \cdot x) \otimes \rho^{-1}(x)f \\ &= (\iota(m) \cdot x) \otimes (f \cdot x) = \xi(m) \cdot x. \end{aligned}$$

We now prove that (4) implies (3). Let  $\gamma \in \mathcal{X}(H)$  be a rational character of  $H$  and consider the rational  $H$ -module  $V = \mathbb{k}\gamma$ . If  $\xi$  and  $W$  are as in condition (4) we conclude, using Lemma 2.9, that  $\gamma$  is extendible.

Assume now that (1) holds. Then by Theorem 3.3,  $H$  is observable in  $G$ , and it follows from (2) that  $\frac{1}{\det} \in E_M(H)$ . Hence, (5) holds.

Finally, if (5) holds, then by Theorem 2.12,  $\mathcal{X}(H) = E_G(H)$ . Let  $\det \in \mathcal{X}(M)$  be a determinant such that  $\frac{1}{\det}|_H \in E_M(H)$ , and let  $f \in \mathbb{k}[M]$  be an extension of  $\frac{1}{\det}|_H$ . If  $\chi \in \mathcal{X}(H)$ , let  $g \in \mathbb{k}[G]$  be an extension of  $\chi$ . Then there exists  $l \in \mathbb{k}[M]$  and  $n \geq 0$  such that  $g = \frac{l}{\det^n}$ . Therefore, for every  $x \in H$  and  $a \in G$ , we have that

$$\chi(x) \frac{l(a)}{\det^n(a)} = \chi(x)g(a) = (g \cdot x)(a) = \frac{(l \cdot x)(a)}{\det^n(x) \det^n(a)}.$$

It follows that  $l \cdot a = \chi(x) \det^n(x)l$ ; that is  $l \in \mathbb{k}[M]$  is an extension of  $\chi \det^n \in \mathcal{X}(H)$ . Then  $lf \in \mathbb{k}[M]$  is an extension of  $\chi$ .  $\square$

**Theorem 3.5.** *Let  $M$  be an irreducible affine algebraic monoid with unit group  $G$  and let  $H \subset G$  be a subgroup, closed in  $M$ . Then the following conditions are equivalent.*

- (1) *The subgroup  $H$  is observable in  $M$ .*
- (2) *There exists a finite dimensional rational right  $M$ -module  $V$  and  $v \in V$  such that  $G_v = H$ .*

*In particular, if condition (2) holds, then  $G_v = M_v$  is closed in  $M$ .*

PROOF. To prove that condition (1) implies condition (2), we adapt the proof of the case  $M = G$  (see [4, Corollary 7.3.6]). First we observe that, since  $G$  is observable in  $M$ ,  $H$  is observable in  $G$  and  $[\mathbb{k}[G]^H] = [\mathbb{k}[G]]^H = [\mathbb{k}[M]]^H = [\mathbb{k}[M]^H]$ . Let  $\{u_0, u_1, \dots, u_n\} \subset \mathbb{k}[M]^H$  be such that  $\{\frac{u_1}{u_0}, \dots, \frac{u_n}{u_0}\}$  generates  $[\mathbb{k}[M]]^H$  over  $\mathbb{k}$ . Let  $W \subset \mathbb{k}[M]$  be the finite dimensional rational right  $M$ -submodule generated by  $u_0, \dots, u_n$ . Let  $V = \bigoplus_{i=0}^n W$ ,  $v_0 = (u_0, \dots, u_n) \in V$  and consider the stabilizer  $G_{v_0}$ . It is clear that  $H \subset G_{v_0}$ .

Conversely, if  $a \in G_{v_0}$ , then  $u_i \cdot a = u_i$ ,  $i = 0, \dots, n$ , and hence  $\frac{u_i}{u_0} \cdot a = \frac{u_i}{u_0}$ ,  $i = 1, \dots, n$ . As the elements  $\frac{u_i}{u_0}$ ,  $i = 1, \dots, n$ , generate  $[\mathbb{k}[M]]^H$ , we conclude that  $f \cdot a = f$  for all  $f \in [\mathbb{k}[M]]^H = [\mathbb{k}[G]]^H$ . Hence,  $a \in H$ , as follows by example from [4, Corollary 7.3.4].

To prove that (2) implies (1), let  $v, V$  be as in (2) and consider the following commutative diagram

$$\begin{array}{ccc} M & \longrightarrow & \overline{z \cdot M} \\ \uparrow & & \uparrow \\ G & \longrightarrow & v \cdot G \end{array}$$

Since  $G/H$  is quasi-affine, it follows that  $H$  is observable in  $G$ , and hence  $[\mathbb{k}[G]^H] = [\mathbb{k}[G]]^H$ . Thus,

$$[\mathbb{k}[\overline{v \cdot M}]] = \mathbb{k}(v \cdot G) \cong [\mathbb{k}[G]^H] = [\mathbb{k}[G]]^H.$$

On the other hand, the orbit morphism  $M \rightarrow \overline{v \cdot M}$  is dominant, and hence induces an inclusion  $\iota : \mathbb{k}[\overline{v \cdot M}] \hookrightarrow \mathbb{k}[M]$ . Since  $G_v = H$ , it follows that  $f(v \cdot (hm)) = f(v \cdot m)$  and hence  $\iota(\mathbb{k}[\overline{v \cdot M}]) \subset \mathbb{k}[M]^H$ . Thus,

$$[\mathbb{k}[M]]^H = [\mathbb{k}[G]]^H = [\mathbb{k}[\overline{v \cdot M}]] \subset [\mathbb{k}[M]^H] \subset [\mathbb{k}[M]]^H,$$

and hence the action by right multiplication of  $H$  on  $M$  is observable.

Finally, recall that observable subgroups are closed in  $M$ . □

**Remark 3.6 (Open question).** It is clear that all the results of this section remain valid when considering right observability simply by adapting the statements and proofs in an obvious way.

If  $M = G(M)$  is an algebraic group, it is well known that  $H \subset G$  is (left) observable in  $G$  if and only if  $H$  is right observable. Indeed, in this case the antipode  $S : \mathbb{k}[G] \rightarrow \mathbb{k}[G]$ ,  $S(f)(x) = f(x^{-1})$ , induces an isomorphism

$\mathbb{k}[G]^H \cong {}^H\mathbb{k}[G]$ . In the more general case of an algebraic monoid  $M$ , this line of reasoning cannot be applied, since  $S(\mathbb{k}[M])$  is not included in  $\mathbb{k}[M]$ . This raises the following question.

**Q1** *Let  $M$  be an algebraic monoid with unit group  $G$ , and  $H \subset G$  a closed subgroup, (left) observable in  $M$ . Is  $H$  right observable in  $M$ ?*

#### 4. Quotients of monoids by normal subgroups

Let  $M$  be an algebraic monoid of unit group  $G$  and let  $H \subset G$  a closed subgroup, observable in  $M$ . Our goal is to prove that if  $H$  is normal in  $G$ , then the affinized quotient  $M/\text{aff} H$  is an affine monoid with unit group  $G/H$ . See Theorem 4.4. Before doing so, we present a general result about the affinized quotient of a monoid by an observable subgroup.

**Proposition 4.1.** *Let  $M$  be an algebraic monoid with unit group  $G$  and let  $H \subset G$  be a closed subgroup, observable in  $M$ . Assume that  $\mathbb{k}[M]^H$  is finitely generated. Then  $M/\text{aff} H$  is an affine embedding of  $G/H$ . That is,  $M/\text{aff} H$  is an affine  $G$ -variety, with an open orbit isomorphic to  $G/H$ .*

PROOF. Consider the following commutative diagram

$$\begin{array}{ccc} G & \xrightarrow{\quad} & M \\ \downarrow & & \downarrow \pi \\ G/H & \xrightarrow{\varphi} & M/\text{aff} H \end{array}$$

where the existence of  $\varphi$  follows from the universal property of the quotient. Since  $H$  is observable in  $M$ , it follows from Theorem 3.3 that  $\mathbb{k}(M/\text{aff} H) = [\mathbb{k}[M]^H] = [\mathbb{k}[M]]^H = [\mathbb{k}[G]]^H = [\mathbb{k}[G]^H]$ . Hence,  $\varphi$  is a birational dominant  $G$ -morphism. Since  $G/H$  is a homogeneous  $G$ -space, it follows that  $\varphi$  is an open immersion.  $\square$

**Proposition 4.2.** *Let  $M$  be a reductive monoid with unit group  $G$ , and let  $H \subset G$  be a normal subgroup, closed in  $M$ . Then the categorical quotient  $M//H$  is an affine algebraic monoid with unit group  $G/H$ . Furthermore,  $\pi : M \rightarrow M//H$  is a morphism of algebraic monoids.*

PROOF. First of all, we prove that  $H$  is observable in  $M$ . Indeed,  $H$  is a normal subgroup of  $G$  and thus it is a reductive group. Since  $H \subset M$  is

closed, it follows that  $gH$  is closed in  $M$  for all  $g \in G$ , and we deduce from Proposition 2.17 that  $H$  is observable in  $M$ .

Let  $(G \times G) \times \mathbb{k}[M] \rightarrow \mathbb{k}[M]$ ,  $((a, b) \cdot f)(m) = f(a^{-1}mb)$   $a, b \in G$ ,  $m \in M$ , be the canonical action. If  $f \in \mathbb{k}[M]^H$  and  $c \in H$ , then

$$\begin{aligned} (c \cdot ((a, b) \cdot f))(m) &= ((c, 1) \cdot ((a, b) \cdot f))(m) = f(a^{-1}c^{-1}mb) = \\ &= f(la^{-1}mb) = f(a^{-1}mb) = ((a, b) \cdot f)(m) \end{aligned}$$

where  $l \in H$  is such that  $a^{-1}c^{-1} = la^{-1}$ . It follows that  $\mathbb{k}[M]^H$  is a  $(G \times G)$ -submodule of  $\mathbb{k}[M]$ . Let now  $(c, d) \in H \times H$ . Then  $(1, d) \cdot f = f$ . Indeed, if  $g \in G$ , then  $gd = lg$  for some  $l \in H$ , and hence  $f(gd) = f(lg) = f(g)$ . In other words,  $(1, d) \cdot f|_G = f|_G$ , and thus  $(1, d) \cdot f = f$ . It follows that  $(c, d) \cdot f = f$ . Hence,  $M//H$  is an affine  $(G/H \times G/H)$ -variety and the coproduct  $m^* : \mathbb{k}[M] \rightarrow \mathbb{k}[M] \otimes \mathbb{k}[M]$  is such that

$$m^*(\mathbb{k}[M]^H) \subset (\mathbb{k}[M]^H \otimes \mathbb{k}[G]) \cap (\mathbb{k}[G] \otimes \mathbb{k}[M]^H) = \mathbb{k}[M]^H \otimes \mathbb{k}[M]^H.$$

Moreover, since  $\mathbb{k}[M]^H \subset \mathbb{k}[G]^H = \mathbb{k}[G/H]$ , it follows that  $M//H$  is an algebraic monoid and that we have a commutative diagram

$$\begin{array}{ccc} G & \xrightarrow{\quad} & M \\ \downarrow & & \downarrow \pi \\ G/H & \xrightarrow{\quad \varphi \quad} & M//H \end{array}$$

where the existence of  $\varphi$  follows from the universal property of the quotient. Then  $\varphi$  is a dominant morphism of algebraic monoids, and hence  $G(M//H) = \varphi(G/H)$ . Since  $H$  is observable in  $M$  it follows that

$$\mathbb{k}(G/H) = [\mathbb{k}[G]]^H = [\mathbb{k}[M]]^H = [\mathbb{k}[M]^H] = \mathbb{k}(M//H).$$

Thus,  $\varphi : G/H \rightarrow M//H$  is an injective birational  $G$ -morphism, and it follows that  $\varphi : G/H \rightarrow G(M//H)$  is an open immersion. Hence,  $\varphi$  is an isomorphism.  $\square$

The following result (with the exception of the last assertion) follows from [8, Theorem 2.5] and [9, Theorem 6.1].

**Theorem 4.3.** *Let  $M$  be an affine algebraic monoid with unit group  $G$ . Then  $\mathbb{k}[M]^{R_u(G)}$  is a finitely generated algebra. Moreover,  $M/\text{aff } R_u(G) = \text{Spec}(\mathbb{k}[M]^{R_u(G)})$  is an affine algebraic monoid with unit group  $G/R_u(G)$ . The morphism  $\pi : M \rightarrow M/\text{aff } R_u(G)$  is a surjective morphism of algebraic*



monoids, and satisfies the following universal property. For any morphism  $f : M \rightarrow N$ , of algebraic monoids, such that  $f(R_u(G)) = \{1_N\}$  there exists a unique morphism  $\tilde{f} : M/\text{aff } R_u(G) \rightarrow N$  such that the diagram

$$\begin{array}{ccc} M & \xrightarrow{f} & N \\ \pi \downarrow & \nearrow \tilde{f} & \\ M/\text{aff } R_u(G) & & \end{array}$$

commutes. Moreover, the subgroup  $H \subset G$  is closed in  $M$  if and only if  $\pi(H) = (HR_u(G))/R_u(G) \subset G/R_u(G)$  is closed in  $M/\text{aff } R_u(G)$ .

PROOF. We provide a proof of the last assertion, since it is not proved in [8] or [9].

It follows from [7, Theorem 3.18] that  $H = \overline{H}$  if and only if  $E(\overline{H}) = \{1\}$ . But by [7, Corollary 6.10],  $E(\overline{H}) = \bigcup_{a \in H} aE(\overline{S})a^{-1}$ , where  $S \subset H$  is a maximal torus of  $H$ . Hence,  $H = \overline{H} \subset M$  if and only if  $S = \overline{S} \subset M$  for any (some) maximal torus  $S \subset H$ .

By [8, Theorem 2.5], it follows that, if  $T \subset G$  is a maximal torus of  $G$ , then  $\pi|_{\overline{T}} : \overline{T} \rightarrow \overline{\pi(T)} \subset M/\text{aff } R_u(G)$  is an isomorphism. Let now  $S \subset H$  be a maximal torus of  $H$  and  $T \subset G$  a maximal torus of  $G$  such that  $S \subset T$ . Then  $\pi|_{\overline{S}} : \overline{S} \rightarrow \overline{\pi(S)} \subset \overline{\pi(T)}$  is an isomorphism. In particular,  $S = \overline{S} \subset M$  if and only if  $\pi(S) = \overline{\pi(S)} \subset M/\text{aff } R_u(G)$ . Since  $\pi(S) \subset \pi(H)$  is a maximal torus, it follows that  $H = \overline{H} \subset M$  if and only if  $\pi(H) = \overline{\pi(H)} \subset M/\text{aff } R_u(G)$ .

We conclude the proof by showing that the affinized quotient  $\pi : M \rightarrow M/\text{aff } R_u(G)$  is a surjective morphism of algebraic monoids (this fact is implicit in [8, 9] but was not stated or proved). By construction,  $\pi$  is a morphism of algebraic monoids. Since  $M/\text{aff } R_u(G)$  is a reductive monoid, it follows that

$$M/\text{aff } R_u(G) = G/R_u(G)E(M/\text{aff } R_u(G))G/R_u(G),$$

see for example [9, Theorem 4.2]. The surjectivity of  $\pi$  follows now from the fact that  $\pi(E(M)) = E(M/\text{aff } R_u(G))$ .  $\square$

**Theorem 4.4.** *Let  $M$  be an algebraic monoid with unit group  $G$ , and let  $H \subset G$  be a normal subgroup, closed in  $M$ . Then the action  $H \times M \rightarrow M$  is observable. Moreover, if  $\mathbb{k}[M]^H$  is finitely generated, then the affinized quotient  $M/\text{aff } H$  is an affine algebraic monoid, with unit group  $G/H$ .*

PROOF. Let  $\pi : M \rightarrow M/\text{aff } R_u(G)$  be the affinized quotient as in Theorem 4.3. By [ibid]  $M/\text{aff } R_u(G)$  is a reductive algebraic monoid with unit group

$G/R_u(G)$ . We use  $\pi$  to help us find a determinant function on  $M$  (the function  $\mu$  below) with suitable properties. Consider the normal subgroup  $\pi(H) = \pi(HR_u(G)) \subset G/R_u(G)$ . Then  $\pi(H)$  is closed in  $M/\text{aff } R_u(G)$  and hence by Proposition 4.2 it follows that  $N = (M/\text{aff } R_u(G))/\pi(H)$  is an affine algebraic monoid. Let  $\rho : M/\text{aff } R_u(G) \rightarrow N$  be the affinized quotient and  $\chi : N \rightarrow \mathbb{k}$  a character such that  $\chi^{-1}(0) = N \setminus G(N) = (G/R_u(G))/\pi(H) \cong G/HR_u(G)$ . Then  $\mu : \chi \circ \rho \circ \pi : M \rightarrow \mathbb{k}$  is a character such that  $\mu(H) = 1$  and  $\mu^{-1}(0) = M \setminus G$ . In particular  $\mu \in \mathbb{k}[M]^H$ . Since  $H$  is normal in  $G$   $H$  is observable in  $G$ . In other words, the action of  $H$  on  $G = M_\mu$  is observable. It follows from Theorem 2.16 that the action of  $H$  on  $M$  is observable.

To prove the last assertion we use the same arguments as in the proof of Proposition 4.2. Since  $m^*(\mathbb{k}[M]^H) \subset \mathbb{k}[M]^H \otimes \mathbb{k}[M]^H$ , it follows that  $M/\text{aff } H$  is an algebraic monoid and that we have a commutative diagram

$$\begin{array}{ccc} G & \xrightarrow{\quad} & M \\ \downarrow & & \downarrow \pi \\ G/H & \xrightarrow{\varphi} & M/\text{aff } H \end{array}$$

where the existence of  $\varphi$  follows from the universal property of the quotient. Then  $\varphi$  is a dominant morphism of algebraic monoids, and hence  $G(M/\text{aff } H) = \varphi(G/H)$ . Since the action of  $H$  on  $M$  is observable, it follows from Theorem 3.3 that  $[\mathbb{k}[M]^H] = [\mathbb{k}[M]]^H$ , and hence  $\varphi$  is a birational morphism. Since  $\rho$  is  $G$ -equivariant, it follows that  $\varphi$  is an open immersion, and thus  $G/H \cong G(M/\text{aff } H)$ .  $\square$

If  $H$  is normal in  $G(M)$  then the affinized quotient  $M/\text{aff } H$  satisfies a universal property in the category of algebraic monoids.

**Proposition 4.5.** *Let  $N$  be an algebraic monoid and  $f : M \rightarrow N$  a morphism of algebraic monoids such that  $f(H) = \{1_N\}$ . Then there exists a morphism of algebraic monoids  $\tilde{f} : M/\text{aff } H \rightarrow N$  such that the following diagram commutes.*

$$\begin{array}{ccc} M & \xrightarrow{f} & N \\ \pi \downarrow & \nearrow \tilde{f} & \\ M/\text{aff } H & & \end{array}$$

PROOF. Let

$$1 \longrightarrow L \longrightarrow N \xrightarrow{\alpha} A(N) \longrightarrow 0$$

be the Chevalley decomposition of  $N$ , where  $\alpha$  is the Albanese morphism (see [2, Theorem 3.2.1] and [3, Theorem 1.1]). Since  $M$  is affine, it follows that from the property of the Albanese morphism that  $f(M) \subset L$  (see for example [3, Theorem 5.1]). Since  $f$  is a morphism of algebraic monoids, such that  $f(H) = \{1\}$ , it follows that  $f$  is constant on the  $H$ -orbits, and hence  $f^* : \mathbb{k}[L] \rightarrow \mathbb{k}[M]$  factors through  $\mathbb{k}[M]^H$ . In other words, there exists  $\tilde{f} : M/\text{aff } H \rightarrow L$  such that  $f = \tilde{f} \circ \pi$ .  $\square$

**Example 4.6.** Let

$$H = \left\{ \begin{pmatrix} a^2 & 0 \\ 0 & a^{-1} \end{pmatrix} : a \in \mathbb{k}^* \right\} \subset \text{GL}_2(\mathbb{k}) \subset \text{M}_{2 \times 2}(\mathbb{k}) = M.$$

Then  $H$  is observable in  $\text{GL}_2(\mathbb{k}) = G(M)$  and closed in  $M$ , but there exists no character  $\chi : M \rightarrow \mathbb{k}$  such that  $H \subset \chi^{-1}(1)$  and  $\chi^{-1}(0) = M \setminus G(M)$ . Since  $H$  is closed in  $G$  and since  $\ell_g : M \rightarrow M$ ,  $\ell_g(m) = g \cdot m$ , is an isomorphism, it follows that  $gH \subset M$  is closed in  $M$  for all  $g \in \text{GL}_2(\mathbb{k})$ . Since  $H$  is reductive, it follows from Proposition 2.17 that the action of  $H$  on  $M$  is observable.

**Remark 4.7 (Open question).** Example 4.6 shows that the condition of  $H \subset G$  being a normal subgroup is crucial in Theorem 4.4. However, in that example the action of  $H$  on  $M$  is observable. This raises the following question.

**Q2** *Let  $M$  be an algebraic monoid with unit group  $G$ , and let  $H \subset G$  an observable group, closed in  $M$ . Is the action  $H \times M \rightarrow M$  observable?*

**Remark 4.8.** If Q2 has a positive answer, then Q1 (see Remark 3.6) has a positive answer. Indeed, assume that Q2 has a positive answer and let  $H \subset M$  be a left observable subgroup. Then by Theorem 3.3 it follows that  $H$  is observable in  $G$ , and hence  $H$  is right observable in  $G$ . It then follows from Q2 that  $H$  is right observable in  $M$ .

## References

- [1] A. Bialynicki-Birula, G. Hochschild, G.D. Mostow, *Extensions of representations of algebraic linear groups*, Amer. J. Math. 85 (1963), 131-144.
- [2] M. Brion, *The local structure of algebraic monoids*, preprint, arXiv:0709.1255 [math.AG].

- [3] M. Brion, A. Rittatore, *The structure of normal algebraic monoids*, Semigroup Forum 74 (2007), no. 3, 410–422, arXiv:math/0610351 [math.AG].
- [4] W. Ferrer-Santos, A. Rittatore, *Actions and Invariants of Algebraic Groups*. Series: Pure and Applied Mathematics, 268, Dekker-CRC Press, Florida, (2005).
- [5] F. Grosshans, *Localization and Invariant Theory*, Adv. in Math. Vol. 21, No. 1 (1976) 50–60.
- [6] V.L. Popov, *Stability criterion for the action of a semisimple group on a factorial manifold*, Math. USSR Izv., 1970, 4 (3), 527–535.
- [7] M. S. Putcha, *Linear Algebraic Monoids*, London Math. Soc. Lecture Notes Series **133**, Cambridge University Press, Cambridge, 1988.
- [8] L. E. Renner, *Reductive monoids are von Neumann regular*, J. Algebra 93 (1985), no. 2, 237–245.
- [9] L. E. Renner, *Linear Algebraic Monoids*, Encyclopædia of Mathematical Sciences **134**, Invariant Theory and Algebraic Transformation Groups, V, Springer-Verlag, Berlin, 2005.
- [10] L. E. Renner, A. Rittatore, *Observable actions of algebraic groups*, Preprint. arXiv: 0902.0137v2 [math.AG].
- [11] A. Rittatore, *Algebraic monoids and group embeddings*, Transformation Groups **3**, No. 4 (1998), 375–396, arXiv:math/9802073 [math.AG].
- [12] A. Rittatore, *Algebraic monoids with affine unit group are affine*, Trans. Groups, v. 12 3, 2009, 601–605, arXiv: math.AG/0602221.